

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Zachariah Cobrinik et al.

SERIAL NO.: 09/823,353

FILING DATE: March 30, 2001

ATTNY. DOCKET: 006878.112100

**TITLE: SYSTEM AND METHOD FOR PROVIDING AN IMPROVED FINANCIAL
DERIVATIVE PRODUCT**

ELECTRONIC MAIL CERTIFICATE

Date of Deposit: July 30, 2007

I hereby certify that the following attached paper(s) and/or fee

- (1) General Transmittal Form (1 page);
- (2) Notice of Appeal from the Examiner to the Board of Patent Appeals and Interferences transmittal (1 page);
- (3) Pre-Appeal Brief Request for Review transmittal (1 page);
- (4) Pre-Appeal Brief Remarks (5 pages).

are being transmitted electronically to the United States Patent Office on the date indicated above.

Respectfully submitted,
GREENBERG TRAURIG, LLP.

/christine lucas/
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Patent Prosecution Paralegal

Dated: July 30, 2007

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**TRANSMITTAL
FORM**

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Total Number of Pages in This Submission

8

Application Number

09/823,353

Filing Date

March 30, 2001

First Named Inventor

Zachariah Cobrinik

Art Unit

3691

Examiner Name

Thu Thao Havan

Attorney Docket Number

006878.112100

ENCLOSURES (Check all that apply)☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☐

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐Reply to Missing Parts/
Incomplete Application☐Reply to Missing Parts
under 37 CFR 1.52 or 1.53☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐Petition to Convert to a
Provisional Application☐

Power of Attorney, Revocation

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Change of Correspondence Address

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Terminal Disclaimer

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After Allowance Communication to TC

☐Appeal Communication to Board
of Appeals and Interferences☒Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)☐

Proprietary Information

☐

Status Letter

☐Other Enclosure(s) (please identify
below):

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

GREENBERG TRAURIG, LLP

Signature



Printed name

Barry J. Schindler

Date

July 30, 2007

Reg. No.

32,938

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